

ALERTS

Lobbyist Laws May Significantly Impact Marketing of Funds and Advisory Services to California and New York City Plans

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While a number of states and municipalities have laws addressing “pay to play,” California and New York City are now requiring that certain internal and external marketers, who solicit the advisory business of public plans, register as lobbyists and comply with the reporting requirements and other provisions of their lobbying laws, including a prohibition on the receipt of contingent compensation.

Practices

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