

**ℕ** NEWS & INSIGHTS

#### EVENTS

# Compliance & Legal Society Annual Seminar

March 13-16, 2016

#### **Event Details**

Hilton & Waldorf Astoria Bonnet Creek

## Speakers & Sessions

### Practices

BROKER-DEALER REGULATORY AND ENFORCEMENT FINANCIAL INSTITUTIONS INVESTMENT MANAGEMENT LITIGATION REGULATORY AND COMPLIANCE

#### SEC ENFORCEMENT AND WHITE COLLAR DEFENSE